SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Ragatz Erik D.	2. Date of Event Requiring Statem Month/Day/Year 06/20/2019	nent 🛛 🚺 🕐	3. Issuer Name and Ticker or Trac <u>Grocery Outlet Holding</u>		GO]			
(Last) (First) (Middle) C/O GROCERY OUTLET HOLDING CORP.			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner			5. If Amendment, Date of Original Filed (Month/Day/Year)		
5650 HOLLIS STREET			Officer (give title below)	Other (spe below)	App	licable Line)	t/Group Filing (Check y One Reporting Person	
(Street) EMERYVILLE CA 94608						Form filed b Reporting P	y More than One erson	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
			Amount of Securities eneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securi Underlying Derivative Securi		4. Conversion or Exercise	Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date	Expiration		Amount or Number of	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		

Explanation of Responses:

Remarks:

Mr. Ragatz is a Partner of Hellman & Friedman LLC and is a member of the board of directors of Grocery Outlet Holding Corp. (the "Issuer"). Certain affiliates of Hellman & Friedman LLC have filed a separate Form 3 reporting securities of the Issuer that they may be deemed to beneficially own. Mr. Ragatz disclaims beneficial ownership of any securities of the Issuer that may be deemed to be beneficially owned by affiliates of Hellman & Friedman LLC.

No securities are beneficially owned.

<u>/s/ Erik D. Ragatz</u>

06/20/2019

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.